

# OTC Dealer

A high-performance, real-time, front-end trader application for OTC markets. Tightly integrated and intelligently designed, OTC Dealer provides a consolidated quotation, trading, and information system to attract and access OTC market liquidity.

OTC Dealer provides a smart and simple interface for traders to manage their OTC market making and agency trading activities. With OTC Dealer, traders can:

**View** - See an integrated quote montage providing a single source for all market maker quotes in OTCQX, OTCBB and Pink Sheets securities. OTC Dealer provides the single screen needed for FINRA-compliant Best Execution in OTCQX, OTCBB and Pink Sheets securities.

**Quote** - Publish quotes in OTCQX, OTCBB and Pink Sheets securities on the Pink Quote inter-dealer quotation system as well as the OTCBB. A simple point and click interface allows traders to easily communicate and manage price and size of their OTC markets.

**Trade** - Access OTC liquidity instantly via the Pink Link electronic messaging system. Traders have direct access to send, execute, negotiate or decline orders with increased efficiency and execution speed.

**Analyze** - Capture complete quote, order and trade histories as well as the latest market changes, from new securities to critical corporate action data. Offers search and analysis capabilities for a variety of historical information such as market maker quotes, inside changes, orders, executions, time and sales and trader open/close activity.

**Integrate** - Full FIX 4.1 connectivity for seamless integration with leading OMS providers (Bloomberg, Fidessa, Lava, SunGard Brass and others) or with internal applications.

OTC Dealer User 1032 logged in

Setup View Quote Info Help mq mt sk qg Print Prefs 1032 OPEN QClose

AFLYY Go Prt AFLYY AIR FRANCE-KLM ADS FV Pink Quote PBQ Yes PBID 103216 Cusip 009119108 premierQX

NBBO: 29.00 / 29.25 ( 200 x 200 ) Last 27.70 2.40 (9.48%) 15:56:18 HI: 27.95 LO: 27.00 PCL: 25.30 Vol: 11,000 Count 34 TotalValue: 301,499.98

You: 29.00 / 30.00 ( 200 x 200 )

YOU: ( x )

Update	U	.10	U	Unpr	Rmw	Snd	Ord	Clr	Hist	Find
Join	HILL	29.00	200	04/23	JANE	29.25	200	04/23	Join	
Best	NITE	29.00	200	14:29	LABS	29.50	200	04/23	Best	
L	UBSS	28.95	200	07/24	HILL	29.55	200	04/23	J	
Γ	BEST	28.95	200	14:21	NITE	30.00	200	14:29	J	
Away	LABS	28.80	200	04/23	UBSS	30.05	200	07/24	Away	
HR	JANE	28.75	200	04/23	BEST	30.05	200	14:21	Take	
Sweep	ETRF	28.75	200	14:20	cABLE	29.75	200	04/23	Sweep	
Max	cABLE	28.90	200	04/23	cDOMS	31.50	200	04/23	Max	
		15:42:44	27.75	100		+2.45				
		15:43:09	27.75	1,600		+2.45				
		15:55:37	27.70	165		+2.40				
		15:56:18	27.70	200		+2.40				

Kill Kill All

IL1 B	0	AFLYY	29.45	ANY	ETRF	13	14:20:43-3m	200	B	EX-200
EX NITE 08/05 B	200	AFLYY	29.45	ETRF	14:20	13	FILLS			
IL1 S	0	AFLYY	29.00	ANY	BEST	14	14:21:47-1m	200	B	EX-200
EX NITE 08/05 B	200	AFLYY	29.00	BEST	14:21	14	FILLS			
IL1 S	0	AFLYY	28.75	ANY	BEST	15	14:22:37-1m	200	B	EX-200
EX NITE 08/05 B	200	AFLYY	28.75	BEST	14:22	15	FILLS			
IL1 B	200	AFLYY	31.45	ANY	ETRF	16	14:23:02-3m	200	D	
IL1 S	0	AFLYY	28.75	ANY	JANE	17	14:23:51-1m	200	B	EX-200
EX NITE 08/05 B	200	AFLYY	28.75	JANE	14:23	17	FILLS			

D.Os 14:31:19 Acknowledgement O.TRAD: Open for Trader 1032 accepted. All Alerts 16:39:14

# ::: Best Execution Made Simple

Designed by traders and built with trusted Java technology, OTC Dealer is a powerful tool to help traders make markets and access liquidity.

## Product Features

- User-friendly interface with simple point and click order entry
- Smart buttons allow traders to easily join the market, sweep the market, price their quote above the inside and maintain quote positions with a single click
- My Ticker and Ticker windows give traders quote updates, inside changes and trade ticks for securities being quoted and securities being followed
- Multiple Market Minder windows allow for a customizable view of your security lists
- Streaming Time and Sales display gives traders a color-coded, detailed intraday record of trades in every OTC security
- QGuard window allows traders to immediately identify locked/crossed markets, quote update failures and Pink Sheets/OTCBB quote divergence
- Extensive user-friendly documentation along with industry's best customer service

OTC Dealer is delivered through service bureau architecture, so the servers, databases and market gateways are housed, monitored and maintained in our hardened data centers with connectivity over secure extranets (Radianz, Savvis and TNS) or the Internet.

## Add-on Services

**ACT Drop Copy** - offers automatic direct reporting of Pink Link executions to FINRA's OTC reporting facility.

**AutoQuote** - enables the calculation of arbitrage quotes in ADR, foreign ordinary and convertible securities in an Excel spreadsheet for automatic submission to OTC Dealer and publication on Pink Quote.

**OTCBB Quote and OTC Trade Data** - integrates market maker quotes on the OTCBB and all OTC trade data on a real-time basis. An NBBO and combined Pink Quote/OTCBB montage for OTCBB securities offers FINRA compliant Best Execution.

**Pink FIX** - is a FIX 4.1 computer-to-computer messaging gateway for firms to submit prices to Pink Quote, as well as send and receive Pink Link orders and executions via their internal systems or third party OMS providers.

**Pink QIX** - automatically delivers quote updates in dually quoted securities to both Pink Quote and OTCBB, keeping traders in compliance with FINRA Priced Quote Publication Rule 2320(g).

## Affordable Pricing

OTC Dealer is available to broker-dealers for the low monthly fee of \$275 per user. Discounts are available after 10 user licenses per location. Broker-Dealer Quote and Trade Privilege Fee, Pink Quote Position Fees, Pink Link Fees and Add-on Services are billed separately. For detailed pricing information on OTC Dealer and broker-dealer services, please refer to the Product Fee Schedule online at [www.pinksheets.com](http://www.pinksheets.com).

## Contact

For more information, please contact [sales@pinkotc.com](mailto:sales@pinkotc.com)

(800) LIST-OTC or (800) 547-8682

[www.otcdealer.com](http://www.otcdealer.com)